**LIFT 2015 Household Survey**

**INFORMED CONSENT AND INTRODUCTION**

**Informed consent:** it is necessary to introduce the household to the survey and obtain the consent of all prospective respondents to participate. If a prospective respondent (e.g. a woman decision maker) is not present at the beginning of the interview, be sure to return to this page and obtain consent before interviewing him or her. Ask to speak with a responsible adult in the household.

Hello. My name is \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_. I am working with MSR/ICF. We are conducting a survey to determine the effectiveness of the LIFT program, which has been funded by people around the world and provides support to villages to help poor and vulnerable households to improve their livelihoods and income.

We are interviewing 4,800 households in 300 villages across Myanmar. These include some villages where LIFT organizations have been working and other villages where LIFT organizations have never worked.

Sixteen households in each village have been selected randomly so that we can collect information on the livelihoods and the kinds of food households grow and eat. Your household is among those selected and we would like to ask you as head of the household or spouse some questions about your household and its livelihoods and food situation. We would also like to measure the height and weight of any children under 5 years of age in your household. In total, the questions should take about 45 minutes of your time.

Your information will help LIFT know how best to provide support, and to understand if this assistance is helping households and children in this village. We ask for your open and honest information. The results will be summarized for the 4,800 households interviewed.

All of the answers you give will be confidential and will not be shared with anyone other than members of our survey team. You don't have to participate in the survey, but we hope you will agree to answer the questions since your views are important. If I ask you any question you don't want to answer, just let me know and I will go on to the next question or you can stop the interview at any time. In case you need more information about the survey, you may contact the person listed on this card.

We can return later today if you don't have time to finish all the questions now.

**GIVE CARD WITH CONTACT INFORMATION**

Do you have any questions about the study or about your participation?

**ASK THE FOLLOWING CONSENT QUESTIONS OF ALL PROSPECTIVE RESPONDENTS.**

**AS APPLICABLE, CHECK AND SIGN THE CONSENT BOX BELOW.**

Who is the main male adult (18 years or older) decision-maker in the household?

[NAME], do you agree to participate in the survey?

NAME: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ RESPONDENT AGREED \_\_\_\_ RESPONDENT DID NOT AGREE \_\_\_\_

Who is the main female adult (18 years or older) decision-maker in the household?

[NAME], do you agree to participate in the survey?

NAME: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ RESPONDENT AGREED \_\_\_\_ RESPONDENT DID NOT AGREE \_\_\_\_

Are there other mothers or responsible persons for children under five years of age with whom I haven’t talked yet?

[NAME], do you agree to participate in the survey and allow that children are weighed and measured?

NAME: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ RESPONDENT AGREED \_\_\_\_ RESPONDENT DID NOT AGREE \_\_\_\_

NAME: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ RESPONDENT AGREED \_\_\_\_ RESPONDENT DID NOT AGREE \_\_\_\_

NAME: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ RESPONDENT AGREED \_\_\_\_ RESPONDENT DID NOT AGREE \_\_\_\_

**ADDITIONAL ELIGIBLE HOUSEHOLD MEMBERS**

NAME: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ RESPONDENT AGREED \_\_\_\_ RESPONDENT DID NOT AGREE \_\_\_\_

NAME: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ RESPONDENT AGREED \_\_\_\_ RESPONDENT DID NOT AGREE \_\_\_\_

NAME: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ RESPONDENT AGREED \_\_\_\_ RESPONDENT DID NOT AGREE \_\_\_\_

**My signature affirms that I have read the verbal informed consent statement to the respondent(s), and I have answered any questions asked about the study. The respondent consented to the interview.**

INTERVIEWER'S NAME AND CODE \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_/\_\_\_\_\_/\_201\_\_

SIGNATURE AND DATE \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_/\_\_\_\_\_/\_201\_\_

# HOUSEHOLD QUESTIONNAIRE

|  |  |
| --- | --- |
| Questionnaire No.\_\_\_\_\_\_\_\_\_\_\_\_\_ |  |

## SECTION 1: GENERAL INFORMATION

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| 1.1 | Village name |  | | | |\_\_\_\_\_\_\_\_\_\_\_\_| | |
| 1.2 | Village MIMU code |  | | | |\_\_\_\_\_\_\_\_\_\_\_\_| | |
| 1.3 | Village tract name |  | | | |\_\_\_\_\_\_\_\_\_\_\_\_| | |
| 1.4 | Township name |  | | | |\_\_\_\_\_\_\_\_\_\_\_\_| | |
| 1.5 | State/Region |  | | | |\_\_\_\_\_\_\_\_\_\_\_\_| | |
| 1.6 | Household name from village list | |\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_| | | | | |
| 1.7 | Household number from village list | Delta 1  Dry 2  Hilly 3  Rakhine 4 | Village no | Reg. | Vill. | House No.  \_\_ | \_\_ \_\_ \_\_|\_\_ \_\_ \_\_| | | |
| 1.8 | Interview date | DD\_\_\_\_\_/MM\_\_\_\_\_/201\_ | | | DD\_\_\_\_\_/MM\_\_\_\_\_/201\_ | |
|  |  |  | | | | |
| 1.10 | Name of head of HH  (De jeure) | |\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_| | | | | |
| 1.11 | Does this HH have children aged under five | Yes  No | | 1  2 | | |\_\_\_\_| |
| 1.12 | How many children in the household are aged under five | |\_\_\_\_| | | | | |
|  |  | **Name** | | | **Code** | |
| 1.13 | Enumerator |  | | | |\_\_\_\_| | |
| 1.14 | Anthroprometrist |  | | | |\_\_\_\_| | |
| 1.15 | Supervisor |  | | | |\_\_\_\_| | |
| 1.16 | Editor |  | | | |\_\_\_\_| | |
| 1.17 | Interview start time | \_\_\_\_\_:\_\_\_\_\_ | | | |\_\_\_\_\_\_\_\_\_\_\_\_| | |
| 1.18 | Interview end time | \_\_\_\_\_:\_\_\_\_\_ | | | |\_\_\_\_\_\_\_\_\_\_\_\_| | |
| 1.19 | Interview duration | \_\_\_\_\_:\_\_\_\_\_ | | | |\_\_\_\_\_\_\_\_\_\_\_\_| | |

## SECTION 2: RESPONDENT’S INFORMATION

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| 2.1 | Respondent’s name |  | | | |
| Criteria for the respondent | *Only head of household or spouse can be used as respondents. The head of HH has to be a living member of the HH and determined by the HH members themselves. The head of HH can be female.*  *(If the head of household or spouse cannot provide information the interviewer can ask the de facto head of HH (e.g. member who earns main income.)* | | | |
| 2.2 | Position in the Household | Head of Household | 1 | | |\_\_\_\_| |
| Spouse | 2 | |
| De facto Head of Household | 3 | |
| 2.3 | Sex | Male | 1 | | |\_\_\_\_| |
| Female | 2 | |
| 2.4 | Respondent’s ethnicity | Bamar | 1 | |\_\_\_\_| | |
| Kachin | 2 |
| Kayah | 3 |
| Kayin | 4 |
| Chin | 5 |
| Mon | 6 |
| Rakhine | 7 |
| Shan | 8 |
| Indian | 9 |
| Chinese | 10 |
| Mixed ethnicity | 11 |
| Pa-O | 12 |
| Palaung | 13 |
| Danu | 14 |
| Other ethnic group (specify)\_\_\_\_\_\_\_\_\_\_\_ | 15 |
| Other ethnic group (specify)\_\_\_\_\_\_\_\_\_\_\_ | 16 |
| 2.5 | Respondent’s religion | Buddhist | 1 | | |\_\_\_\_| |
| Christian | 2 | |
| Hindu | 3 | |
| Islam | 4 | |
| Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 5 | |
| 2.6 | Age | \_\_\_\_\_\_\_ years | |\_\_\_\_| | | |
| **Specify age in years. If specific age is not known, round to the nearest 5 years upwards.** | | | | |

## SECTION 3: DEMOGRAPHY

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | |  | Total number of HH members |\_\_\_\_\_\_\_| | | | | | | | | |
| **Definition of HH members**: Has to have stayed in the HH at some time during the past 3 months. For our purposes today, members of a household are adults or children that live together, eat from the "same pot" and are normally considered to be regular HH members. | | | | | | | | | | | | |
|  | **3.1** | **3.2** | | | **3.3** | **3.4** | **3.5** | **3.6** | | **3.7** | **3.8** | **3.9** |
| **HH Id No** | Name of household member | Relationship of (NAME’s) with the Head of Household | | | What is (NAME’S) Sex | What is (NAME’s) age, in completed years? | Highest education level attended by (NAME) | Does [NAME] have a disability? | | How does [NAME] contribute to household income? | How many productive days did [NAME] lose due to ill health in the last year | How many days did [NAME] lose caring for a sick member of household in the last year? |
| Head of HH………….1  Spouse………………2  Son, daughter, son /daughter-in-law 3  Parent/parent-in-law 4  Other relative 5  Non-relative 6 | | | Male 1  Female 2 | Specify age in years. If specific age not known, round to the nearest 5 years upwards. | No (formal) education 1  Primary school (Grades 1–5) 2  Middle school (Grades 6-9) 3  High school (Grades 10-11) 4  Vocational education 5  Tertiary education (University) 6  Don’t know 99 | No-0  Physical - 1  Seeing-2  Hearing-3  Intellectual-4  More than one disability -5 | | Does not contribute - 0  Helps family business - 1  Casual labor -2  Waged employment -3  Student- 4  Livelihood – 5  Other - 6 |  |  |
| **All HH members** | | | | | | | | **HH members age 5 and above** | | | | |
| 1 | Head of the HH: | |\_\_\_\_| | | | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | | |\_\_|\_\_|\_\_| | |\_\_|\_\_|\_\_| |
| 2 |  | |\_\_\_\_| | | | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | | |\_\_|\_\_|\_\_| | |\_\_|\_\_|\_\_| |
| 3 |  | |\_\_\_\_| | | | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | | |\_\_|\_\_|\_\_| | |\_\_|\_\_|\_\_| |
| 4 |  | |\_\_\_\_| | | | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | | |\_\_|\_\_|\_\_| | |\_\_|\_\_|\_\_| |
| 5 |  | |\_\_\_\_| | | | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | | |\_\_|\_\_|\_\_| | |\_\_|\_\_|\_\_| |
| 6 |  | |\_\_\_\_| | | | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | | |\_\_|\_\_|\_\_| | |\_\_|\_\_|\_\_| |
| 7 |  | |\_\_\_\_| | | | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | | |\_\_|\_\_|\_\_| | |\_\_|\_\_|\_\_| |
| 8 |  | |\_\_\_\_| | | | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | | |\_\_|\_\_|\_\_| | |\_\_|\_\_|\_\_| |
| 9 |  | |\_\_\_\_| | | | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | | |\_\_|\_\_|\_\_| | |\_\_|\_\_|\_\_| |
| 10 |  | |\_\_\_\_| | | | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | | |\_\_|\_\_|\_\_| | |\_\_|\_\_|\_\_| |
| 11 |  | |\_\_\_\_| | | | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | | |\_\_|\_\_|\_\_| | |\_\_|\_\_|\_\_| |
| 12 |  | |\_\_\_\_| | | | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | | |\_\_|\_\_|\_\_| | |\_\_|\_\_|\_\_| |
| 13 |  | |\_\_\_\_| | | | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | | |\_\_|\_\_|\_\_| | |\_\_|\_\_|\_\_| |
| 14 |  | |\_\_\_\_| | | | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | | |\_\_|\_\_|\_\_| | |\_\_|\_\_|\_\_| |
| 15 |  | |\_\_\_\_| | | | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | | |\_\_|\_\_|\_\_| | |\_\_|\_\_|\_\_| |

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **3.10** | Are there any other members of your household that have not lived here during the past 3 months? | | | | | | | | | |  |
|  | | | | | | Yes 1 | |  | | |\_\_\_\_| |
| No 2 | | ▶4.1 | |
|  | **3.11** | **3.12** | **3.13** | **3.14** | **3.15** | **3.16** | | **3.17** | | **3.18** | |
| **HH Id No** | Name of household member | Relationship of (NAME) with the Head of Household | What is (NAME’S) Sex | What is (NAME’S) age, in completed years? | Where has [NAME] migrated to? | What kind of area does [NAME] now stay in? | | How long has (NAME) been away from the household? | | What is the main reason for temporarily living/staying outside? | |
| Head of HH 1  Spouse 2  Son, daughter, son /daughter-in-law 3  Parent/parent-in-law 4  Other relative 5  Non-relative 6 | Male 1  Female 2 | Specify age in years. If specific age not known, round to the nearest 5 years upwards. | Ayeyarwady Region 1  Bago Region 2  Chin State 3  Kachin State 4  Kayah State 5  Kayin State 6  Magway Region 7  Mandalay Region 8  Mon State 9  Naypuitaw Region 10  Rakhine State 11  Sagaing Region 12  Shan State 13  Thanintharyi Region 14  Yangon Region 15  China 16  Malaysia 17  Singapore 18  Thailand 19  Another country 20  Don’t know 88 | Urban 1  Rural 2  Don’t know 8 | | Short time – less than one year…1  Migrant for >1 and < 5 years…….2  Migrant for >5 years….3 | | Searching for Job ..1  Working……………2  Business/Trading…3  Health Care……….4  Education………….5  Social (visiting family, wedding, vacation) 6  Skills Training ……..7  Don’t know…………8  Other, specify……..9  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |
| 1 |  | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_||\_\_\_\_| | |\_\_\_\_| | | |\_\_\_\_| | | |\_\_\_\_| | |
| 2 |  | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_||\_\_\_\_| | |\_\_\_\_| | | |\_\_\_\_| | | |\_\_\_\_| | |
| 3 |  | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_||\_\_\_\_| | |\_\_\_\_| | | |\_\_\_\_| | | |\_\_\_\_| | |
| 4 |  | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_||\_\_\_\_| | |\_\_\_\_| | | |\_\_\_\_| | | |\_\_\_\_| | |
| 5 |  | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_||\_\_\_\_| | |\_\_\_\_| | | |\_\_\_\_| | | |\_\_\_\_| | |

## SECTION 4: EXPOSURE TO LIFT-SUPPORTED ACTIVITIES

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Have you or anyone from your household participated or benefited from any of the following activities in the past 5 years? If yes, who provided those activities? (Multiple responses possible)** | Yes-1  No-2 | NGO  1 | Govt.  2 | Private Sector 4 | DK  8 |
|  | **4.1 TRAINING and/or RECEIVED ADVICE** | | | | | |
| 4.11 | In agriculture (e.g. demonstration plots, farmer field schools, field trials, farmer exchange visits, use of agricultural equipment, seed production, home garden and vegetable, MAFF) | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.12 | In livestock | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.13 | In fisheries | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.14 | In aquaculture | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.15 | In nutrition | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.16 | In WASH/hygiene | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.17 | In natural resource management – e.g. forestry, grazing lands, mangroves, wild fisheries, embankments, water etc. | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.18 | In CBO strengthening (e.g. CBO formation, leadership, action planning, project management, management committees) | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.19 | In non-agricultural employment   * In vocational skills - carpentry, tailoring/sewing, mechanics/repairs, * In small business development (e.g., business planning, bookkeeping, management, marketing, enterprise development, etc.). * In life skills and safe migration | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.110 | In Financial Inclusion (borrowing and savings)   * In microfinance management, MFIs * In cooperativities financial management * In Village Savings and Loan Associations | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
|  | **4.2 HOUSEHOLD INPUTS - Have you or anyone from your household received any of the following inputs in the past 5 years? (Multiple responses possible)** | | | | | |
| 4.21 | For agriculture, either given, through cash grants or revolving funds   * Seeds, seedlings fertilizer, pesticides, * Provision of equipment for crop production – tillers, seeders etc. * Support for crop production) | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.22 | For livestock, either given, through cash grants or revolving funds | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.23 | For fisheries, either given, through cash grants or revolving funds | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.24 | For aquaculture, either given, through cash grants or revolving funds | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.25 | In nutrition – maternal cash transfer | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.26 | In WASH/hygiene –   * Grants/materials for sanitation facilities * Grants/materials for sanitation facilities | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.27 | In natural resource management – e.g. support for disaster risk reduction or climate change preparedness. | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.28 | In CBO strengthening (e.g. CBO formation, leadership, action planning, project management, management committees) | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.29 | In non-agricultural employment   * Cash for Work -- to construct or renovate paths, roads, bridges, embankments piers or pond rehabilitation | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.210 | In Financial Inclusion (borrowing and savings)   * Provision of loans | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
|  | **4.3 GROUP-BASED ACTIVITIES - Are you or anyone in the household currently a member of any of the following groups** in the last 5 years**? (Multiple responses possible)** | | | | | |
| 4.31 | In agriculture (e.g. producer groups) | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.32 | In livestock (e.g. producer groups) | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.33 | In fisheries (e.g. producer groups) | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.34 | In aquaculture (e.g. producer groups) | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.35 | In nutrition (e.g. mother-to-mother support groups) | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.36 | In WASH/hygiene | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.37 | In natural resource management group – e.g. community forestry, soil conservation, mangrove replanting, disaster risk reduction or climate change preparedness | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.38 | In CBO strengthening | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.39 | In non-agricultural employment   * Group based non-farming enterprises | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| 4.310 | In Financial Inclusion (borrowing and savings)   * Microfinance group * Village cooperative/credit union * VSLA | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |

## SECTION 5: SOURCES OF HH INCOME

|  |  |  |
| --- | --- | --- |
|  | What were the sources of income for your household during the previous 12 months? (Read each line) | **Yes—1**  **No—2** |
| 5.1 | Sale of rice | |\_\_\_\_| |
| 5.2 | Sale of paddy | |\_\_\_\_| |
| 5.3 | Sale of other cereals (maize, wheat, barley, oats, sorghum etc.). | |\_\_\_\_| |
| 5.4 | Sale of beans, pulses and peanuts | |\_\_\_\_| |
| 5.5 | Sale of tubers and root crops (cassava, potatoes, taro, yam etc.). | |\_\_\_\_| |
| 5.6 | Sale of vegetables (fresh and dried) | |\_\_\_\_| |
| 5.7 | Sale of fruits (fresh and dried) | |\_\_\_\_| |
| 5.8 | Sale of beverage crops (tea or coffee) | |\_\_\_\_| |
| 5.9 | Sale of toddy products (including sap, alcoholic beverage and jaggery) | |\_\_\_\_| |
| 5.10 | Sale of other crops/agricultural products (rubber, reed broom, flowers, perennial trees, etc…) | |\_\_\_\_| |
| 5.11 | Sale of fresh wild catch of fish, prawns, crabs, shellfish | |\_\_\_\_| |
| 5.12 | Sale of fresh farmed fish, prawns, crabs, shellfish | |\_\_\_\_| |
| 5.13 | Sale of processed fish, prawns, crabs, shellfish (dried, salted, paste) | |\_\_\_\_| |
| 5.14 | Sale of other wild food products (fruits and animals) – fresh or processed | |\_\_\_\_| |
| 5.15 | Sale of firewood, timber/poles, bamboo, charcoal, rattan, palm leaves, thatch etc. | |\_\_\_\_| |
| 5.16 | Sale of livestock or livestock products (whole animals, meat, milk, eggs etc.). | |\_\_\_\_| |
| 5.17 | Small business - small scale production (not agricultural products) | |\_\_\_\_| |
| 5.18 | Small business – trading, buying and selling | |\_\_\_\_| |
| 5.19 | Small business – services (including transport services, repair, mechanical, post-harvest processing, etc.) | |\_\_\_\_| |
| 5.20 | Casual labour – agriculture | |\_\_\_\_| |
| 5.21 | Casual labour – fishery | |\_\_\_\_| |
| 5.22 | Casual labour – forestry or forest products | |\_\_\_\_| |
| 5.23 | Casual labour – Other | |\_\_\_\_| |
| 5.24 | Cash for work | |\_\_\_\_| |
| 5.25 | Regular full-time employment | |\_\_\_\_| |
| 5.26 | Regular part-time employment | |\_\_\_\_| |
| 5.27 | Interest from lending | |\_\_\_\_| |
| 5.28 | Remittances | |\_\_\_\_| |
| 5.29 | Pensions | |\_\_\_\_| |
| 5.30 | Government/NGO assistance (cash vouchers) | |\_\_\_\_| |
| 5.31 | Re-sale of food aid | |\_\_\_\_| |
| 5.32 | Gifts of money | |\_\_\_\_| |
| 5.33 | Any other source of income 1 (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |\_\_\_\_| |
| 5.34 | Any other source of income 2 (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |\_\_\_\_| |
| 5.35 | Any other source of income 3 (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |\_\_\_\_| |
| 5.36 | If no source of income in the last 12 months answer 99 | |\_\_\_\_| |

|  |  |
| --- | --- |
| **For questions No 5.37 to 5.39, use the following codes.** | |
| Sale of rice 1 | Small business – services (including transport services, repair, mechanical, post-harvest processing, etc.).  19 |
| Sale of paddy 2 | Casual labour – agriculture 20 |
| Sale of other cereals (maize, wheat, barley, oats, sorghum etc.). 3 | Casual labour – fishery 21 |
| Sale of beans, pulses and peanuts 4 | Casual labour – forestry or forest products 22 |
| Sale of tubers and root crops (cassava, potatoes, taro, yam etc.). 5 | Casual labour – Other 23 |
| Sale of vegetables (fresh and dried) 6 | Cash for work 24 |
| Sale of fruits (fresh and dried) 7 | Regular full-time employment 25 |
| Sale of beverage crops (tea or coffee) 8 | Regular part-time employment 26 |
| Sale of toddy products (including sap, alcoholic beverage and jaggery) 9 | Interest from lending (cash or kind) 27 |
| Sale of other crops/agricultural products (rubber, reed broom, flowers, perennial trees, etc.). 10 | Remittances 28 |
| Sale of fresh wild catch of fish, prawns, crabs, shellfish 11 | Pensions 29 |
| Sale of fresh farmed fish, prawns, crabs, shellfish 12 | Government/NGO assistance (cash vouchers) 30 |
| Sale of processed fish, prawns, crabs, shellfish (dried, salted, paste) 13 | Re-sale of food aid 31 |
| Sale of other wild food products (fruits and animals) – fresh or processed 14 | Gifts of money 32 |
| Sale of firewood, timber/poles, bamboo, charcoal, rattan, palm leaves, thatch etc. 15 | Other—1 33 |
| Sale of livestock or livestock products (whole animals, meat, milk, eggs etc.). 16 | Other—2 34 |
| Small business - small scale production (not agricultural products) 17 | Other—3 35 |
| Small business – trading, buying and selling  18 | Did not have income 99 |

|  |  |  |
| --- | --- | --- |
| 5.37 | What was the **most** important source of income for your household during the previous 12 months? | |\_\_\_\_| |
| 5.38 | What was the **second** most important source of income for your household during the previous 12 months? | |\_\_\_\_| |
| 5.39 | What was the **third** most important source of income for your household during the previous 12 months? | |\_\_\_\_| |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| 5.40 | What is the average total income for your household from all sources in a normal month/year? | | | |  |
| Less than Ks 25,000 monthly | or | Less than Ks 300,000 annually | 1 | |\_\_\_\_| |
| Ks 25,001 – Ks 50,000 monthly | or | Ks 300,001 – 600,000 annually | 2 |
| > Ks 50,001 – Ks 75,000 monthly | or | > Ks 600,001 – 900,000 annually | 3 |
| > Ks 75,001 – Ks 100,000 monthly | or | > Ks 900,001 – Ks 1,200,000 annually | 4 |
| > Ks 100,001 – Ks 150,000 monthly | or | > Ks 1,200,001 – Ks 1,800,000 annually | 5 |
| > Ks 150,001 – Ks 200,000 monthly | or | > Ks 1,800,001 – Ks 2,400,000 annually | 6 |
| > Ks 200,001 – Ks 250,000 monthly | or | > Ks 2,400,001 – Ks 3,000,000 annually | 7 |
| > Ks 250,001 – Ks 300,000 monthly | or | > Ks 3,000,001 – Ks 3,600,000 annually | 8 |
| > Ks 300,001 – Ks 350,000 monthly | or | > Ks 3,600,001 – Ks 4,200,000 annually | 9 |
| > Ks 350,001 – Ks 400,000 monthly | or | > Ks 4,200,001 – Ks 4,800,000 annually | 10 |
| > Ks 400,001 – Ks 450,000 monthly | or | Ks 4,800,001 – Ks 5,400,000 annually | 11 |
| > Ks 450,001 – Ks 500,000 monthly | or | Ks 5,400,001 – Ks 6,000,000 annually | 12 |
| > Ks 500,001 – Ks 550,000 monthly | or | Ks 6,000,001 – Ks 6,600,000 annually | 13 |
| > Ks 550,001 – Ks 600,000 monthly | or | Ks 6,600,001 – Ks 7,200,000 annually | 14 |
| Over Ks 600,001 monthly | or | Over Ks 7,200,001 annually | 99 |
| Don’t know/no response |  |  |  |

|  |  |  |  |
| --- | --- | --- | --- |
| 5.41a | Have you or anyone in your household established a business or become employed in the past 5 years? | Yes-1  No-2 | |\_\_\_\_| |
| 5.41 | How do you compare your household’s income during these past 12 months with the previous year? | | |
| Increased | 1 | |\_\_\_\_| |
| Same as previous year | 2 |
| Decreased | 3 |
| Don’t know/no response | 99 |
| 5.42 | How do you compare your household’s income from non-agricultural activities during these past 12 months with the previous year? | | |
| Increased | 1 | |\_\_\_\_| |
| Same as previous year | 2 |
| Decreased | 3 |
| Don’t know/no response/NA | 99 |
| 5.43 | How do you compare your household’s profit from crop sales these past 12 months with the previous year? | | |
| Increased | 1 | |\_\_\_\_| |
| Same as previous year | 2 |
| Decreased | 3 |
| Don’t know/no response/no sales | 99 |
| 5.44 | How do you compare your household’s profit from livestock sales these past 12 months with the previous year? | | |
| Increased | 1 | |\_\_\_\_| |
| Same as previous year | 2 |
| Decreased | 3 |
| Don’t know/no response/no sales | 99 |
| 5.45 | How do you compare your household’s profit from fish/prawns/crabs/shellfish sales during these past 12 months with the previous year? | | |
| Increased | 1 | |\_\_\_\_| |
| Same as previous year | 2 |
| Decreased | 3 |
| Don’t know/no response/no sales | 99 |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **R1** | **Do you have the following sources of household Income and Diversity** | **Tick if relevant** | **Current**  **(Number of stones)** | **Regular Yes—1**  **No—2** |
| R1.1 | Agriculture |  |  |  |
| R1.2 | Fishing/fisheries |  |  |  |
| R1.3 | Livestock rearing |  |  |  |
| R1.4 | Fish breeding/aquaculture |  |  |  |
| R1.5 | Selling other goods through a shop or stall |  |  |  |
| R1.6 | Irregular day-wages |  |  |  |
| R1.7 | Regular part-time employment (employee) |  |  |  |
| R1.8 | Regular full-time employment (employee) |  |  |  |
| R1.9 | Remittances/contributions from family/friends |  |  |  |
| R1.10 | Other services provision/ Small technical work |  |  |  |
| R1.11 | Rental of assets |  |  |  |
| R1.12 | Donation |  |  |  |
| R1.13 | Debt interest repayments |  |  |  |
| R1.14 | Pension |  |  |  |
| R1.15 | Others |  |  |  |

|  |  |  |  |
| --- | --- | --- | --- |
| **R2** | **Household Expenditure** | **Tick if relevant** | **Current**  **(Number of stones)** |
| R2.1 | Food expenses |  |  |
| R2.2 | Debt repayment |  |  |
| R2.3 | Health expenses |  |  |
| R2.4 | Education expenses |  |  |
| R2.5 | Livelihood expenses |  |  |
| R2.6 | Official/social expenses |  |  |
| R2.7 | Travel expenses |  |  |
| R2.8 | Others (Specify) |  |  |
| R2.9 | Savings |  |  |

## SECTION 7: EMPLOYMENT OF FARM LABOUR

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Number of days of farm labour employed by your HH in the past 12 months | | | | |
| 7.1 | Did your household undertake any farming activities in the past 12 months? | | | |
| Yes | 1 |  | |\_\_\_\_| |
| No | 2 | ▶8.1 |
| 7.2 | Did your household employ workers to assist in your agricultural production in the past 12 months? | | | |
| Yes | 1 |  | |\_\_\_\_| |
| No | 2 | ▶8.1 |
| 7.12 | Did your household employ more, less or about the same amount of farm labour during the past 12 months compared with the previous year? | | | |
| More farm labour | 1 | | |\_\_\_\_| |
| Same as previous year | 2 | |
| Less labour | 3 | |

## SECTION 8: HOUSEHOLD DIETARY DIVERSITY SCORE

|  |  |  |
| --- | --- | --- |
| Now I would like to ask you about the types of foods that you or anyone else in your household ate yesterday during the day and night. Did you or anyone else in your HH eat: **(Multiple responses)** | | |
| **Read out the list** | | **Yes—1**  **No—2** |
| 8.1 | Any rice, sticky rice, or any other food made from rice, sticky rice, maize, wheat, barley, oats, millet, sorghum? | |\_\_\_\_| |
| 8.2 | Any noodles, bread, biscuits or any other foods made from flour? | |\_\_\_\_| |
| 8.3 | Any potatoes, cassava, yams, taro, or any food made from roots or tubers? | |\_\_\_\_| |
| 8.4 | Any vegetables? | |\_\_\_\_| |
| 8.5 | Any fruits? | |\_\_\_\_| |
| 8.6 | Any beef, pork, lamb, goat, rabbit, chicken, duck, other birds, other meats or organs such as liver, heart, kidney etc.? | |\_\_\_\_| |
| 8.7 | Any other meats from frogs, eel, rats, snakes, dogs, cats etc.? | |\_\_\_\_| |
| 8.8 | Any eggs from chickens, quails, ducks or other birds? | |\_\_\_\_| |
| 8.9 | Any fish, crabs, prawns, or shellfish, either fresh or dried? | |\_\_\_\_| |
| 8.10 | Any food made from gram, peas, cowpeas, pigeon peas, lentils, beans, peanuts or other nuts? | |\_\_\_\_| |
| 8.11 | Any milk, milk solids, yogurt, cheese, or other milk products? | |\_\_\_\_| |
| 8.12 | Any food made with peanut oil, coconut oil, palm oil, sesame oil, sunflower oil or other oils, animal fat, butter or margarine? | |\_\_\_\_| |
| 8.13 | Any sugar, jaggery, honey? | |\_\_\_\_| |
| 8.14 | Any coffee or tea? | |\_\_\_\_| |
| 8.15 | Any condiments such as salt, pepper, curry, or chillies etc.? | |\_\_\_\_| |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **R3** | **During the past week, how many times has your household eaten the following foods:**  **CIRCLE THE CORRECT RESPONSE** | **>once per day** | **Daily** | **2-3 times**  **per week** | **Once in the week** | **Not at all** | **Don’t eat because of personal preference or religion** |
| R3.1 | Rice | 4 | 3 | 2 | 1 | 0 | 9 |
| R3.2 | Beans/pulses | 4 | 3 | 2 | 1 | 0 | 9 |
| R3.3 | Fresh vegetables | 4 | 3 | 2 | 1 | 0 | 9 |
| R3.4 | Fish | 4 | 3 | 2 | 1 | 0 | 9 |
| R3.5 | Meat | 4 | 3 | 2 | 1 | 0 | 9 |
| R3.6 | Fresh fruit | 4 | 3 | 2 | 1 | 0 | 9 |
| R3.7 | Wheat/flour/noodles | 4 | 3 | 2 | 1 | 0 | 9 |
| R3.8 | Eggs | 4 | 3 | 2 | 1 | 0 | 9 |
| R3.9 | Poultry | 4 | 3 | 2 | 1 | 0 | 9 |
| R3.10 | Oils/fat | 4 | 3 | 2 | 1 | 0 | 9 |
| R3.11 | Sugar/honey | 4 | 3 | 2 | 1 | 0 | 9 |
| R3.12 | Nuts/seeds/grains | 4 | 3 | 2 | 1 | 0 | 9 |
| R3.13 | Tobacco/alcohol | 4 | 3 | 2 | 1 | 0 | 9 |

## SECTION 9: MONTHS OF ADEQUATE HOUSEHOLD FOOD PROVISIONING

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Now I would like to ask you about your household’s food supply during different months of the year. Please think back over the last 12 months from now to the same time last year. | | | | | |
| 9.1 | Were there months in the past 12 months in which your household did not have enough food to meet your household’s needs? This includes food from any source such as from your own production, purchase or exchange. | | | | |
| Yes | | 1 |  | |\_\_\_\_| |
| No | | 2 | ▶10.16 |
|  | If yes, which were the months in the past 12 months during which your household did not have enough food? (Do not read out the list of months.) **(Multiple responses)** | | | | |
|  | **Fill in Code “1” if the respondent identifies that month as one in which the household DID NOT HAVE enough food. If the respondent does not identify that month fill in Code “0”.** | | | | Inadequate—1 Adequate—0 |
| 9.2 | December 2015 | Nadaw | | | |\_\_\_\_| |
| 9.3 | November 2015 | Tazaungmon | | | |\_\_\_\_| |
| 9.4 | October 2015 | Thadingyut | | | |\_\_\_\_| |
| 9.5 | September 2015 | Tawthalin | | | |\_\_\_\_| |
| 9.6 | August 2015 | Wagaung | | | |\_\_\_\_| |
| 9.7 | July 2015 | Waso | | | |\_\_\_\_| |
| 9.8 | June 2015 | Nayone | | | |\_\_\_\_| |
| 9.9 | May 2015 | Kasone | | | |\_\_\_\_| |
| 9.10 | April 2015 | Tagu | | | |\_\_\_\_| |
| 9.11 | March 2015 | Tabaung | | | |\_\_\_\_| |
| 9.12 | February 2015 | Tabodwe | | | |\_\_\_\_| |
| 9.13 | January 2015 | Pyatho | | | |\_\_\_\_| |

## SECTION 10: FOOD AVAILABILITY

|  |  |  |  |
| --- | --- | --- | --- |
| 10.16 | Overall, how would you compare your household’s food availability from all sources in the past 12 months with the previous year? | | |
| Increased | 1 | |\_\_\_\_| |
| Same as previous year | 2 |
| Decreased | 3 |
| Don’t know/no response | 99 |

## SECTION 11: ACCESS TO LAND FOR AGRICULTURE

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| 11.1 | Does your household or any of its members own land? (Including land of ANY type) | | Yes 1  No 2▶11.13 | |\_\_\_\_| | |
| Note: Ownership should be considered very broadly to include cases where land is formally titled and registered in one or more household member’s name; land that has been purchased, transferred or inherited but not formally titled (or if titled not registered in the household’s name); land leased from government; and, land where the household believes it has an established right (formal or informal) to use the land, a right that is generally recognized by the community. | | | | | |
| 11.12 | | If yes, What is the total area of land that your household owns? | Unit \_\_\_\_\_\_\_ | | |\_\_\_\_| |
| 11.13 | | Is your household growing any crop at present? | Yes 1  No 2▶11.15 | | |\_\_\_\_| |
| 11.14 | | What total area of land your household is cultivating at present? | Unit \_\_\_\_\_\_\_\_ | | |\_\_\_\_| |
| 11.15 | | Did your household pump water or receive water from a canal to irrigate in the past 12 months? | Yes 1  No 2▶12.1 | | |\_\_\_\_| |
| 11.16 | | If yes, what area of your land did your household irrigate? | Unit \_\_\_\_\_\_\_\_ | | |\_\_\_\_| |

## SECTION 12: HOUSEHOLD CROP PRODUCTION

**To ask all households with access (including those who are renting or who can use land for free) to land**

I would now like to ask some questions about the **annual** crops your household grew in the previous 12 months: **(NOTE: Perennial and tree crops are to be excluded)**

|  |  |  |
| --- | --- | --- |
|  | | **Yes—1**  **No—2** |
| 12.1 | Did your household grow any annual crops (for own consumption or for sale) in the 2014 monsoon? | |\_\_\_\_| |
| 12.2 | Did your household grow any annual crops after the 2014 monsoon? | |\_\_\_\_| |
| 12.4 | Did your household grow any annual crops in 2015 monsoon? | |\_\_\_\_| |

If all 3 questions are answered NO ▶N13.1**1**

**Crop codes**

|  |  |  |  |
| --- | --- | --- | --- |
| Paddy/rice/sticky rice 1 | Cowpea 12 | Tomato 23 | Cotton 34 |
| Corn/maize 2 | Pigeon pea 13 | Pumpkin 24 | Tobacco 35 |
| Wheat 3 | Chick pea 14 | Green beans 25 | Betel leaf 36 |
| Millet 4 | Lentil 15 | Aubergine 26 | Other (specify) 37 |
| Sorghum 5 | Lima/butter bean 16 | Okra 27 | Other (specify) 38 |
| Groundnut 6 | Navy/kidney bean 17 | Onion 28 | Other (specify) 39 |
| Sesame seed 7 | Soy bean 18 | Chilli 29 |  |
| Mustard/rape seed 8 | Cassava 19 | Garlic 30 |  |
| Sunflower 9 | Potato 20 | Ginger 31 |  |
| Niger Seed 10 | Sweet potato 21 | Turmeric 32 |  |
| Green/black gram 11 | Yam 22 | Sugarcane 33 |  |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Crop** | **Crop code** | **What was the area planted?** | | **How much did you harvest?** | | | **Crop yield compared with your average season?** |
| **12.6** | | **12.7** | | | **12.8** |
| Unit | Qty. | Unit | Form of harvested product  1 Loose grains  2 In pod  3 On cob  4 Other (specify | Qty.  (Total harvested for all acres planted) | Increased 1  Same 2  Decreased 3 |
| Major crop grown in the 2014 monsoon | |\_\_\_\_| | Acre | |\_\_\_\_| | \_\_\_\_\_ | |\_\_\_\_\_\_\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| Major crop grown after the 2014 monsoon | |\_\_\_\_| | Acre | |\_\_\_\_| | \_\_\_\_\_ | |\_\_\_\_\_\_\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |
| Major crop grown in 2015 monsoon | |\_\_\_\_| | Acre | |\_\_\_\_| | \_\_\_\_\_ | |\_\_\_\_\_\_\_\_\_\_| | |\_\_\_\_| | |\_\_\_\_| |

\* **Form of harvested product**: e.g. beans in pod or beans without pod, corn on the cob or loose grains, etc.

|  |  |  |  |
| --- | --- | --- | --- |
| 12.19 | How do you compare your household’s level of crop productivity over the last 12 months as a result of adopting these improved agricultural practices, inputs, or technologies in the past five years? | | |
| Increased | 1 | |\_\_\_\_| |
| Same | 2 |
| Decreased | 3 |
| Don’t know | 4 |

## SECTION 13: CONSTRAINTS TO CROP PRODUCTION

|  |  |  |
| --- | --- | --- |
| 13.1. | What are the major constraints or problems limiting your HH’s crop production? (Why didn’t your household produce more baskets of crop?)  **Do not read out the answers (Multiple responses)** | |
|  |  | Yes 1  No 2 |
| 13.1.1 | Lack of money to buy the necessary inputs (or lack of credit) | |\_\_\_\_| |
| 13.1.2 | Lack of land | |\_\_\_\_| |
| 13.1.3 | Lack of draught power/mechanical power in the village | |\_\_\_\_| |
| 13.1.4 | Draught power/mechanical power are too expensive | |\_\_\_\_| |
| 13.1.5 | Lack of other tools and equipment in the village | |\_\_\_\_| |
| 13.1.6 | Other tools and equipment are too expensive | |\_\_\_\_| |
| 13.1.7 | Lack of fertilizer in the village | |\_\_\_\_| |
| 13.1.8 | Fertilizer is too expensive | |\_\_\_\_| |
| 13.1.9 | Lack of quality seeds in the village | |\_\_\_\_| |
| 13.1.10 | Seeds are too expensive | |\_\_\_\_| |
| 13.1.11 | Lack of household labour | |\_\_\_\_| |
| 13.1.12 | Lack of casual labour available locally in the village | |\_\_\_\_| |
| 13.1.13 | Casual labour is too expensive | |\_\_\_\_| |
| 13.1.14 | Lack of pesticides in the village | |\_\_\_\_| |
| 13.1.15 | Local labour lack appropriate skills | |\_\_\_\_| |
| 13.1.16 | Pesticides are too expensive | |\_\_\_\_| |
| 13.1.17 | Lack of knowledge, skills or experience | |\_\_\_\_| |
| 13.1.18 | Not interested/grows enough/too risky to grow more | |\_\_\_\_| |
| 13.1.19 | Low prices for the agricultural crops grown | |\_\_\_\_| |
| 13.1.20 | Bad/unreliable weather (including too little or too much rain) | |\_\_\_\_| |
| 13.1.21 | Lack of water resources or irrigation infrastructure | |\_\_\_\_| |
| 13.1.22 | Crop pests and disease | |\_\_\_\_| |
| 13.1.23 | Low soil fertility/poor soil structure etc. | |\_\_\_\_| |
| 13.1.24 | Salinity | |\_\_\_\_| |
| 13.1.25 | Soil acidity | |\_\_\_\_| |
| 13.1.26 | Exceptional weather events (e.g. floods, drought, etc.) | |\_\_\_\_| |
| 13.1.27 | Other 1 (specify)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |\_\_\_\_| |
| 13.1.28 | Other 2.(specify)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |\_\_\_\_| |

## Section 13N Trialed and adopted new agriculture practices

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Have you tried or adopted improved agricultural practices, inputs, or technologies in the past 5 years?** | Yes 1  No 2 | Yes 1  No 2 |
| Trialed  (< 1 year) | Adopted on land they are farming (> 1 year) |
| N13.11 | Started growing a new crop that you had not grown before | |\_\_\_\_| | |\_\_\_\_| |
| N13.12 | Changed the cropping pattern | |\_\_\_\_| | |\_\_\_\_| |
| N13.13 | Increased the range of crops that you are growing | |\_\_\_\_| | |\_\_\_\_| |
| N13.14 | Increased the number of seasons/harvests in a year (e.g. shift to double-cropping) | |\_\_\_\_| | |\_\_\_\_| |
|  | **Have you tried or adopted new management practices in the past 5 years?** | |  |
| N13.21 | Land preparation | |\_\_\_\_| | |\_\_\_\_| |
| N13.22 | Planting/sowing methods, (including raised seed beds and transplanting in case of SRI) | |\_\_\_\_| | |\_\_\_\_| |
| N13.23 | Soil fertility management | |\_\_\_\_| | |\_\_\_\_| |
| N13.24 | Soil and water conservation practices | |\_\_\_\_| | |\_\_\_\_| |
| N13.25 | Crop pest & disease management | |\_\_\_\_| | |\_\_\_\_| |
| N13.26 | Harvest practices | |\_\_\_\_| | |\_\_\_\_| |
| N13.27 | Post-harvest practices | |\_\_\_\_| | |\_\_\_\_| |
| N13.28 | Other (specify: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_) | |\_\_\_\_| | |\_\_\_\_| |
|  | **Have you tried or adopted new inputs in the past 5 years?** | |  |
| N13.31 | New or improved variety of seeds | |\_\_\_\_| | |\_\_\_\_| |
| N13.32 | Change in quantity or type of fertilizer or method of application | |\_\_\_\_| | |\_\_\_\_| |
| N13.33 | Change in quantity or type of herbicide or method of application | |\_\_\_\_| | |\_\_\_\_| |
| N13.34 | Change in quantity or type of pesticides or method of application | |\_\_\_\_| | |\_\_\_\_| |
| N13.35 | Other (specify: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_) | |\_\_\_\_| | |\_\_\_\_| |
|  | **Have you tried or adopted new equipment/technologies in the past 5 years?** | |  |
| N13.41 | Ploughs/tillage equipment for use with draught animals | |\_\_\_\_| | |\_\_\_\_| |
| N13.42 | Power tiller | |\_\_\_\_| | |\_\_\_\_| |
| N13.43 | Tractor | |\_\_\_\_| | |\_\_\_\_| |
| N13.44 | Power thresher | |\_\_\_\_| | |\_\_\_\_| |
| N13.45 | Improved crop storage bin or silo | |\_\_\_\_| | |\_\_\_\_| |
| N13.46 | Irrigation equipment (pump, drip irrigation) | |\_\_\_\_| | |\_\_\_\_| |
| N13.47 | Harvester | |\_\_\_\_| | |\_\_\_\_| |
| N13.48 | Combined harvester | |\_\_\_\_| | |\_\_\_\_| |
| N13.49 | Sprayers | |\_\_\_\_| | |\_\_\_\_| |
| N13.410 | Seeder | |\_\_\_\_| | |\_\_\_\_| |
| N13.411 | Other (specify: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_) | |\_\_\_\_| | |\_\_\_\_| |
|  | **Have you tried or adopted new livestock practices in the past 5 years?** | |  |
| N13.51 | Raised new types or breeds of livestock | |\_\_\_\_| | |\_\_\_\_| |
| N13.52 | Changed feeding/grazing practices | |\_\_\_\_| | |\_\_\_\_| |
| N13.53 | Changed type of feed provided | |\_\_\_\_| | |\_\_\_\_| |
| N13.54 | Adopted new disease management practices | |\_\_\_\_| | |\_\_\_\_| |
| N13.55 | Accessing new types of animal health services | |\_\_\_\_| | |\_\_\_\_| |
| N13.56 | Changed livestock living arrangements | |\_\_\_\_| | |\_\_\_\_| |
| N13.57 | Other livestock management practices (specify: \_\_\_\_\_\_\_\_\_\_\_\_) | |\_\_\_\_| | |\_\_\_\_| |
|  | **Have you tried or adopted new aquaculture practices in the past 5 years?** | |  |
| N13.61 | Started doing aquaculture | |\_\_\_\_| | |\_\_\_\_| |
| N13.62 | Introduced new fish varieties | |\_\_\_\_| | |\_\_\_\_| |
| N13.63 | Changed water management practices | |\_\_\_\_| | |\_\_\_\_| |
| N13.64 | Changed physical features of the fish pond | |\_\_\_\_| | |\_\_\_\_| |
| N13.65 | Changed feeding practices | |\_\_\_\_| | |\_\_\_\_| |
| N13.66 | Changed type of feed provided | |\_\_\_\_| | |\_\_\_\_| |
| N13.67 | Other aquaculture management practices (specify: ) | |\_\_\_\_| | |\_\_\_\_| |

## SECTION 14: MARKETING PRACTICES and PRODUCER GROUPS

|  |  |  |  |
| --- | --- | --- | --- |
| 14N.1 | Is anyone in your household a member of a producer group that is financially active (must have some kind of income and expenditure)? | Yes 1  No 2▶14.9 | |\_\_\_\_| |
|  | If yes, what type of producer group? | | Yes 1  No 2  Don’t Know 8 |
| 14N.2 | Farmer organisation | | |\_\_\_\_| |
| 14N.3 | Seed growing group | | |\_\_\_\_| |
| 14N.4 | Paddy producer group | | |\_\_\_\_| |
| 14N.5 | Livestock producer group | | |\_\_\_\_| |
| 14N.6 | Aquaculture producer group | | |\_\_\_\_| |
| 14N.7 | Agro-forestry producer group | | |\_\_\_\_| |
| 14N.8 | Fishery producer group | | |\_\_\_\_| |
| 14N.9 | Other (specify: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_) | | |\_\_\_\_| |
|  | Did your household adopt any of the following marketing practices in the past 12 months? | |  |
| 14N.10 | Processing product in a different way | | |\_\_\_\_| |
| 14N.11 | Selling at a different time | | |\_\_\_\_| |
| 14N.12 | Selling to a new/different market | | |\_\_\_\_| |
| 14N.13 | Negotiating a better price | | |\_\_\_\_| |
| 14N.14 | Buying collectively (through a producer group or farmer organisation) | | |\_\_\_\_| |
| 14N.15 | Selling collectively (through a producer group or farmer organisation) | | |\_\_\_\_| |
| 14N.16 | Use of contract farming | | |\_\_\_\_| |
| 14N.17 | Sorting quality produce for sale | | |\_\_\_\_| |
| 14N.18 | Other (specify: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_) | | |\_\_\_\_| |

## SECTION 15: FINANCIAL SERVICES

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 15.1a | Have you or any household member set up or used a savings and or a bank account in the **last 12 months**? | | | |
| Yes | 1 |  | |\_\_\_\_| |
| No | 2 |  |
| 15.1b | Have you or any household member accessed insurance or a microfinance beneficiary welfare fund in the **last 12 months**? [*Enumerators may need to cite names of local MFIs]* | | | |
| Yes | 1 |  | |\_\_\_\_| |
| No | 2 |  |
| 15.1c | Have you or any household member taken any credit in the **last 12 months**? | | | |
| Yes | 1 |  | |\_\_\_\_| |
| No | 2 | ▶15.5 |

|  |  |  |  |
| --- | --- | --- | --- |
|  |  | From whom did you borrow money?  (**Multiple answers possible**) | What proportion of your debt is borrowed from this source  **(10 stones)** |
| 15.2.1 | Private bank | |\_\_\_\_| | |\_\_\_\_| |
| 15.2.2 | Micro-credit provider (low interest, 2.5% or less) | |\_\_\_\_| | |\_\_\_\_| |
| 15.2.3 | Village Savings and Loans Association | |\_\_\_\_| | |\_\_\_\_| |
| 15.2.4 | Family/friend | |\_\_\_\_| | |\_\_\_\_| |
| 15.2.5 | Money lender | |\_\_\_\_| | |\_\_\_\_| |
| 15.2.6 | Shop-keeper | |\_\_\_\_| | |\_\_\_\_| |
| 15.2.7 | Private company | |\_\_\_\_| | |\_\_\_\_| |
| 15.2.8 | Farmers Association/Cooperative | |\_\_\_\_| | |\_\_\_\_| |
| 15.2.9 | Pre-sale of product to trader | |\_\_\_\_| | |\_\_\_\_| |
| 15.2.10 | Government | |\_\_\_\_| | |\_\_\_\_| |
| 15.2.88 | Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |\_\_\_\_| | |\_\_\_\_| |

|  |  |  |  |
| --- | --- | --- | --- |
| 15.3 | What was the most important use of the ***loans*** taken in the last year? | | |
|  | **Most important use** | |
| Home improvement including water supply | 1 | |\_\_\_\_| |
| House purchase or construction | 2 |
| Construction other than house | 3 |
| Land purchase/rent | 4 |
| Purchase of working tools or equipment | 5 |
| Food purchases | 6 |
| Purchase of agricultural inputs | 7 |
| Purchase of animals/medicine for animals | 8 |
| Purchase of other assets | 9 |
| Bride price / Wedding | 10 |
| Health emergency | 11 |
| Funeral | 12 |
| Business investment | 13 |
| Repayment of loans | 14 |
| School/education fees/costs | 15 |
| Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 88 |

|  |  |  |  |
| --- | --- | --- | --- |
| 15.4a | What is the value of the loans taken in the last year? | | |
| Less than Ks 25,000 | 1 | |\_\_\_\_| |
| Ks 25,001 – Ks 50,000 | 2 |
| Ks 50,001 – Ks 75,000 | 3 |
| Ks 75,001 – Ks 100,000 | 4 |
| Ks 100,001 – Ks 150,000 | 5 |
| Ks 150,001 – Ks 200,000 | 6 |
| Ks 200,001 – Ks 300,000 | 7 |
| Ks 300,001 – Ks 400,000 | 8 |
| Ks 400,001 – Ks 500,000 | 9 |
| Ks 500,001 – Ks 600,000 | 10 |
| Ks 600,001 – Ks 700,000 | 11 |
| Ks 700,001 – Ks 800,000 | 12 |
| Ks 800,001 – Ks 900,000 | 13 |
| Ks 900,001 – Ks 1,000,000 | 14 |
| Ks 1,000,001– Ks 1,100,000 | 15 |
| Ks 1,100,001– Ks 1,200,000 | 16 |
| Ks 1,200,001– Ks 1,300,000 | 17 |
| Ks 1,300,001– Ks 1,400,000 | 18 |
| Ks 1,400,001– Ks 1,500,000 | 19 |
| Ks 1,500,001– Ks 1,600,000 | 20 |
| Ks 1,600,001– Ks 1,700,000 | 21 |
| Ks 1,700,001– Ks 1,800,000 | 22 |
| Ks 1,800,001– Ks 1,900,000 | 23 |
| Ks 1,900,001– Ks 2,000,000 | 24 |
| Over Ks 2,000,001 | 25 |
| No debt | 26 |
| Do not know/answer | 99 |
| 15.4b | What is the value of your household’s current debt from all sources of credit? | | |
| Less than Ks 25,000 | 1 | |\_\_\_\_| |
| Ks 25,001 – Ks 50,000 | 2 |
| Ks 50,001 – Ks 75,000 | 3 |
| Ks 75,001 – Ks 100,000 | 4 |
| Ks 100,001 – Ks 150,000 | 5 |
| Ks 150,001 – Ks 200,000 | 6 |
| Ks 200,001 – Ks 300,000 | 7 |
| Ks 300,001 – Ks 400,000 | 8 |
| Ks 400,001 – Ks 500,000 | 9 |
| Ks 500,001 – Ks 600,000 | 10 |
| Ks 600,001 – Ks 700,000 | 11 |
| Ks 700,001 – Ks 800,000 | 12 |
| Ks 800,001 – Ks 900,000 | 13 |
| Ks 900,001 – Ks 1,000,000 | 14 |
| Ks 1,000,001– Ks 1,100,000 | 15 |
| Ks 1,100,001– Ks 1,200,000 | 16 |
| Ks 1,200,001– Ks 1,300,000 | 17 |
| Ks 1,300,001– Ks 1,400,000 | 18 |
| Ks 1,400,001– Ks 1,500,000 | 19 |
| Ks 1,500,001– Ks 1,600,000 | 20 |
| Ks 1,600,001– Ks 1,700,000 | 21 |
| Ks 1,700,001– Ks 1,800,000 | 22 |
| Ks 1,800,001– Ks 1,900,000 | 23 |
| Ks 1,900,001– Ks 2,000,000 | 24 |
| Over Ks 2,000,001 | 25 |
| No debt | 26 |
| Do not know/No answer | 99 |

|  |  |  |  |
| --- | --- | --- | --- |
| 15.5 | How do you compare your household’s current level of indebtedness with previous years? | | |\_\_\_\_| |
| Increasing | 1 |
| Staying much the same | 2 |
| Decreasing | 3 |
| Do not know/No response | 99 |

## SECTION 16: HOUSEHOLD LIVESTOCK OWNERSHIP

|  |  |  |  |
| --- | --- | --- | --- |
|  | How many animals does your household currently own? **(Multiple responses)**  **Record the number in the spaces provided (include both mature and young).** | | |
|  |  | **Not own 0**  **Own 1**  **Shared 2** | **Number Owned** |
| 16.1.1 | Cattle | |\_\_\_\_| | |\_\_\_\_| |
| 16.1.2 | Horses | |\_\_\_\_| | |\_\_\_\_| |
| 16.1.3 | Goats and/or sheep | |\_\_\_\_| | |\_\_\_\_| |
| 16.1.4 | Buffalo | |\_\_\_\_| | |\_\_\_\_| |
| 16.1.5 | Pigs | |\_\_\_\_| | |\_\_\_\_| |
| 16.1.6 | Chickens | |\_\_\_\_| | |\_\_\_\_| |
| 16.1.7 | Ducks | |\_\_\_\_| | |\_\_\_\_| |
| 16.1.8 | Other 1 (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |\_\_\_\_| | |\_\_\_\_| |
| 16.1.9 | Other 2 (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |\_\_\_\_| | |\_\_\_\_| |
| 16.1.10 | Other 3 (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |\_\_\_\_| | |\_\_\_\_| |

## SECTION 17: HOUSEHOLD OWNERSHIP OF AGRICULTURAL EQUIPMENT AND MACHINERY

|  |  |  |  |
| --- | --- | --- | --- |
|  | Does your household currently own any of the following agricultural equipment and machinery? **Record the answer in the space provided – ownership can be full or shared ownership with other households. (Multiple responses)** | | |
|  |  | **Not own 0**  **Own 1**  **Shared 2** | **Number owned** |
| 17.1.1 | Ploughs/tillage equipment for use with draught animals | |\_\_\_\_| | |\_\_\_\_| |
| 17.1.2 | Power tiller | |\_\_\_\_| | |\_\_\_\_| |
| 17.1.3 | Tractor | |\_\_\_\_| | |\_\_\_\_| |
| 17.1.4 | Power thresher | |\_\_\_\_| | |\_\_\_\_| |
| 17.1.5 | Backpack sprayer | |\_\_\_\_| | |\_\_\_\_| |
| 17.1.6 | Improved crop storage bin or silo | |\_\_\_\_| | |\_\_\_\_| |
| 17.1.7 | Tarpaulin or seed drying net | |\_\_\_\_| | |\_\_\_\_| |
| 17.1.8 | Irrigation pump | |\_\_\_\_| | |\_\_\_\_| |
| 17.1.9 | Animal drawn cart | |\_\_\_\_| | |\_\_\_\_| |
| 17.1.10 | Trailer (drawn by vehicle) | |\_\_\_\_| | |\_\_\_\_| |
| 17.1.11 | Seeder | |\_\_\_\_| | |\_\_\_\_| |
| 17.1.12 | Other 1 (specify)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |\_\_\_\_| | |\_\_\_\_| |
| 17.1.13 | Other 2 (specify)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |\_\_\_\_| | |\_\_\_\_| |
| 17.1.14 | Other 3 (specify)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |\_\_\_\_| | |\_\_\_\_| |

## SECTION 18: OTHER HOUSEHOLD ASSETS

|  |  |  |  |
| --- | --- | --- | --- |
| 18.1 | What is the major source of **lighting** in your household? | | |
| Electricity from the grid | 1 | |\_\_\_\_| |
| Village generator | 2 |
| Own generator | 3 |
| Shared generator with other household(s) | 4 |
| Lamp (kerosene/oil) | 5 |
| Candle | 6 |
| Solar power with a battery | 7 |
| Table lamp with dry battery | 8 |
| Hydro generator | 9 |
| Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 88 |
| 18.2 | What is the major source of **cooking fuel** in your household? | | |
| Electricity | 1 | |\_\_\_\_| |
| Gas | 2 |
| Charcoal | 3 |
| Kerosene | 4 |
| Wood | 5 |
| Dung | 6 |
| Stove using paddy husk for fuel | 7 |
| Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 88 |

|  |  |  |  |
| --- | --- | --- | --- |
|  | Does your household, including the head, spouse and all members, own any of the following items? **Read the following list to respondents.** | |  |
|  | **Assets** | Yes 1  No 2 | Number owned |
| 18.3.1 | Bicycle | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.2 | Motorcycle | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.3 | Trishaw | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.4 | Trawlarjee | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.5 | Car | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.6 | Truck | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.7 | Bed (wooden or steel) | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.8 | Mattress | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.9 | Stove (gas or electric) | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.10 | Fuel efficient wood stove | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.11 | Chair | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.12 | Table | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.13 | Gold/ Jewellery | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.14 | Radio/cassette | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.15 | TV / satellite dish | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.16 | DVD player | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.17 | Sewing machine | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.18 | Cell phone | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.19 | Watch | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.20 | Solar panel | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.21 | Boat without motor | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.22 | Boat with motor | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.23 | Fishing net | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.24 | Fish/aquaculture pond | |\_\_\_\_| | |\_\_\_\_| |
| 18.3.25 | Household savings | |\_\_\_\_| | |\_\_\_\_| |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| 18.4 | Does your household own the house you are living in? | | | | |
|  | | Yes 1 | |\_\_\_\_| | |
|  | | No 2 |
| 18.5 | What is the **main** material of the house roof, walls and floors? If possible answer based on observation – if more than one house record for the best house. | | | | |
| 18.6 | **Roofing**  **material** | Zinc sheets or corrugated iron | 1 | | |\_\_\_\_| |
| Tarpaulin or plastic sheet | 2 | |
| Palm frond or thatch | 3 | |
| Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 88 | |
| 18.7 | **Wall material** | Zinc sheets or corrugated iron | 1 | | |\_\_\_\_| |
| Tarpaulin or plastic sheet | 2 | |
| Bamboo, palm frond or thatch | 3 | |
| Timber | 4 | |
| Bricks, cement, cement block, or cement and stone | 5 | |
| Mud bricks/mud | 6 | |
| Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 88 | |
| 18.8 | **Floor material** | Timber | 1 | | |\_\_\_\_| |
| Bamboo | 2 | |
| Earth | 3 | |
| Cement | 4 | |
| Other (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 88 | |
| 18.9 | Looking back over the past 2 years, do you think that your household’s total assets and wealth are……. | | | | |
| Increasing | | 1 | | |\_\_\_\_| |
| Staying much the same | | 2 | |
| Decreasing | | 3 | |

## SECTION 20: WATER AND SANITATION

|  |  |  |  |
| --- | --- | --- | --- |
| **20.1** | **What is currently the main source of drinking water for members of your household in the past 12 months in the rainy season?** |  |  |
|  | Piped water  Piped into home  Piped to yard/plot  Public tap/standpipe  Tubewell or borehole  Dug well  Protected well  Unprotected well  Water from spring  Protected spring  Unprotected spring  Water from pond  Protected pond  Unprotected pond  Rainwater  Tanker truck  Cart with small tank  Surface water (river/dam/lake/pond/stream/canal/irrigation channel)  Digging into a dry river bed  Bottled water  Other (Specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 11  12  13  21  31  32  41  42  51  52  61  71  81  82  91  92  96 | |\_\_\_\_| |
| 20.1a | Where is that water source located? |  |  |
|  | In own dwelling  In own yard/plot  Elsewhere | 1  2  3 | |\_\_\_\_| |
| 20.1b | How long does it take to go there, get water, and come back? |  |  |
|  | Minutes  Don’t know | \_\_ \_\_ \_\_  998 | |\_\_ \_\_ \_\_| |
| 20.1c | Is water available from this source all year round? |  |  |
|  | Yes  No  Don’t know | 1  2  8 | |\_\_\_\_| |

|  |  |  |  |
| --- | --- | --- | --- |
| **20.2** | **What is the main source of drinking-water used by your household in the past 12 months in the winter season?** |  |  |
|  | Piped water  Piped into home  Piped to yard/plot  Public tap/standpipe  Tubewell or borehole  Dug well  Protected well  Unprotected well  Water from spring  Protected spring  Unprotected spring  Water from pond  Protected pond  Unprotected pond  Rainwater  Tanker truck  Cart with small tank  Surface water (river/dam/lake/pond/stream/canal/irrigation channel)  Digging into a dry river bed  Bottled water  Other (Specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 11  12  13  21  31  32  41  42  51  52  61  71  81  82  91  92  96 | |\_\_\_\_| |
| 20.2a | Where is that water source located? |  |  |
|  | In own dwelling  In own yard/plot  Elsewhere | 1  2  3 | |\_\_\_\_| |
| 20.2b | How long does it take to go there, get water, and come back? |  |  |
|  | Minutes  Don’t know | \_\_ \_\_ \_\_  998 | |\_\_ \_\_ \_\_| |
| 20.2c | Is water available from this source all year round? |  |  |
|  | Yes  No  Don’t know | 1  2  8 | |\_\_\_\_| |
| 20.2d | In the last two weeks, was water unavailable from this source for a day or longer? |  |  |
|  | Yes  No  Don’t know | 1  2  8 | |\_\_\_\_| |

|  |  |  |  |
| --- | --- | --- | --- |
| **20.3** | **What is the main source of drinking-water used by your household in the past 12 months in the summer season?** |  |  |
|  | Piped water  Piped into home  Piped to yard/plot  Public tap/standpipe  Tubewell or borehole  Dug well  Protected well  Unprotected well  Water from spring  Protected spring  Unprotected spring  Water from pond  Protected pond  Unprotected pond  Rainwater  Tanker truck  Cart with small tank  Surface water (river/dam/lake/pond/stream/canal/irrigation channel)  Digging into a dry river bed  Bottled water  Other (Specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 11  12  13  21  31  32  41  42  51  52  61  71  81  82  91  92  96 | |\_\_\_\_| |
| 20.3a | Where is that water source located? |  |  |
|  | In own dwelling  In own yard/plot  Elsewhere | 1  2  3 | |\_\_\_\_| |
| 20.3b | How long does it take to go there, get water, and come back? |  |  |
|  | Minutes  Don’t know | \_\_ \_\_ \_\_  998 | |\_\_ \_\_ \_\_| |
| 20.3c | Is water available from this source all year round? |  |  |
|  | Yes  No  Don’t know | 1  2  8 | |\_\_\_\_| |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **R4** |  | | **In the Monsoon season** | **In Winter** | **In the Dry season** |
|  | Do you buy water? | Yes 1  No 2 | R4.1  |\_\_\_\_| | R4.2  |\_\_\_\_| | R4.3  |\_\_\_\_| |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| 20.4 | Do you treat your water in any way to make it safer to drink? | Yes 1 |  | |\_\_\_\_| | |
| No 2 | ▶20.6 |
| Don’t know 9 | ▶20.6 |
|  | If Yes, what do you usually do to the water to make it safer to drink? Anything else? **Record all items mentioned**. | | |  | |
| 20.5.1 | Boil | | | |\_\_\_\_| | |
| 20.5.2 | Add bleach/chlorine/iodine | | | |\_\_\_\_| | |
| 20.5.3 | Strain it through a cloth | | | |\_\_\_\_| | |
| 20.5.4 | Use a water filter (ceramic, sand, composite, etc.) | | | |\_\_\_\_| | |
| 20.5.5 | Solar disinfection | | | |\_\_\_\_| | |
| 20.5.6 | Let it stand and settle | | | |\_\_\_\_| | |
| 20.5.7 | Others (specify) --------------------------------------------- | | | |\_\_\_\_| | |
| 20.6 | What kind of toilet facility do members of your household usually use? | | |  | |
| Flush or Pour Flush Toilet  Flush to piped sewer system 11  Flush to septic tank 12  Flush to pit latrine 13  Flush to somewhere else 14  Flush, don't know where 15  Pit Latrine  Ventilated Improved Pit Latrine 21  Pit Latrine With Slab 22  Pit Latrine Without Slab/Open Pit 23  Bucket Toilet 41  No Facility/Bush/Field 61  Hanging Latrine (Pile) 71  Other 96 | | | | |\_\_\_\_| |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 20.7 | Does your household share the toilet facility with other households? | Yes 1  No 2 ▶20.9.1 | | | | | | |\_\_\_\_| |
| 20.8 | How many households share that toilet facility? | If less than 10 0  10 or more households 95  Don’t know 98 | | | | | | |\_\_ \_\_| |
|  | **When do you wash your hands?**  *(Circle one responses for each situation)* | **Code:**  0 = Never  1 = Rarely  2 = Sometimes or often  3 = Always  99 = No Answer / Don’t Know/NA | | | | | |  |
| 20.9.1 | After defecation and urination | 0 | 1 | 2 | 3 | | 99 | |\_\_\_\_| |
| 20.9.2 | Before preparing meals | 0 | 1 | 2 | 3 | | 99 | |\_\_\_\_| |
| 20.9.3 | Before feeding a child | 0 | 1 | 2 | 3 | | 99 | |\_\_\_\_| |
| 20.9.4 | Before eating | 0 | 1 | 2 | 3 | | 99 | |\_\_\_\_| |
| 20.9.5 | After eating | 0 | 1 | 2 | 3 | | 99 | |\_\_\_\_| |
| 20.9.6 | After cleaning babies bottom | 0 | 1 | 2 | 3 | | 99 | |\_\_\_\_| |
| 20.9.7 | After handling animals | 0 | 1 | 2 | 3 | | 99 | |\_\_\_\_| |
| 20.9.8 | Other (specify):**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** | 0 | 1 | 2 | 3 | | 99 | |\_\_\_\_| |
| 20.10 | **What do you use for washing hands?**  *(Most common method: only one response)* | | | | | | | |
|  | Do not wash hands | | | | | 0 | | |\_\_\_\_| |
| Water only | | | | | 1 | | |\_\_\_\_| |
| Water and soap | | | | | 2 | | |\_\_\_\_| |
| Water and ash | | | | | 3 | | |\_\_\_\_| |
| Other (specify): **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** | | | | | 96 | | |\_\_\_\_| |
| No Answer / Don’t Know | | | | | 99 | | |\_\_\_\_| |
| 20.11 | Where do young children in your household usually defecate? **(only ask if have children under five years old)** | | | | | | |  |
|  | Open air 1  Nappies/cloth 2  Latrine/toilet 3  Bucket/bowl 4  Other 5 | | | | | | | |\_\_\_\_| |
| 20.12 | How do you usually dispose of your children’s feces? **(only ask if have children under five years old)** | | | | | | |  |
|  | Leave it on the ground 1  Bury it / cover with sand 2  Put it in the latrine 3  Put it the trash/garbage area 4  Wash the nappies / cloth in a river, well or pond 5  n/a (they go in the latrine or toilet) 6  Other (specify) 8 | | | | | | | |\_\_\_\_| |

## Section R5 Social Participation

|  |  |  |
| --- | --- | --- |
| **R5** | **How often do household members participate in following events?**  **(Always =3, Frequently =2, Sometimes =1, Never = 0)** | **Current** |
| R5.1 | Village meetings |  |
| R5.2 | Weddings, funerals, religious festivals |  |
| R5.3 | Household events |  |

## Section R6 Decision Making

|  |  |  |
| --- | --- | --- |
| **R6** | **To what extent does the household head participate in village planning?**  **(Always =3, Frequently =2, Sometimes =1, Never = 0)** | **Current** |
| R6.1.11 | Influences decisions |  |
| R6.1.2 | Participates in discussions |  |
| R6.1.3 | Attends meeting |  |
|  | **To what extent do the women in your household participate in village planning? (Always =3, Frequently =2, Sometimes =1, Never = 0)** | **Current** |
| R6.2.1 | Influences decisions |  |
| R6.2.2 | Participates in discussions |  |
| R6.2.3 | Attends meetings |  |

## Section R7 Village Resource Management

|  |  |  |
| --- | --- | --- |
|  |  | Yes 1  No 2 |
| R7.1 | Are you benefitting directly from any managed or protected forests | |\_\_\_\_|  No ▶R7.2 |
| R7.11 | Are you collecting fuelwood from a protected forest | |\_\_\_\_| |
| R7.12 | Are you collecting fodder from a managed/protected forest | |\_\_\_\_| |
| R7.13 | Are you collecting other NTFP from a managed/protected forest | |\_\_\_\_| |
| R7.14 | Other - specify: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |\_\_\_\_| |
| R7.2 | Are you benefitting directly from any managed or protected grazing lands? | |\_\_\_\_|  No ▶R7.3 |
| R7.21 | Are you collecting fodder from a managed/protected grazing land | |\_\_\_\_| |
| R7.22 | Are you collecting other NTFP from a managed/protected grazing land | |\_\_\_\_| |
| R7.23 | Other (specify: ) | |\_\_\_\_| |
| R7.3 | Are you benefitting directly from any managed or protected mangroves: | |\_\_\_\_|  No ▶R7.4 |
| R7.31 | Are you gaining protection from flooding, wind, tides and cyclones (and reduced erosion/damage as a result) | |\_\_\_\_| |
| R7.32 | Are you getting fodder for livestock | |\_\_\_\_| |
| R7.33 | Are you getting fuelwood | |\_\_\_\_| |
| R7.34 | Are you getting fish, crabs, shellfish, etc. for sale/consumption | |\_\_\_\_| |
| R7.35 | Other (specify: ) | |\_\_\_\_| |
| R7.4 | Are you benefitting directly from any managed or protected water bodies? | |\_\_\_\_|  No ▶End |
| R7.41 | Are you fishing in a protected/managed water body | |\_\_\_\_| |
| R7.42 | Are you collecting water for drinking or domestic use from a protected/managed water body | |\_\_\_\_| |
| R7.43 | Are you using water for livestock from a protected/managed water body | |\_\_\_\_| |
| R7.44 | Are you using water for irrigation from a protected/managed water body | |\_\_\_\_| |
| R7.45 | Other (specify: ) | |\_\_\_\_| |

**⯀END OF THE QUESTIONNAIRE**